

TO: <b>Mail Stop 8</b> <b>Director of the U.S. Patent and Trademark Office</b> <b>P.O. Box 1450</b> <b>Alexandria, VA 22313-1450</b>	<b>SOLICITOR</b> <b>OCT 29 2007</b> <b>U.S. PATENT &amp; TRADEMARK OFFICE</b>	<b>REPORT ON THE</b> <b>FILING OR DETERMINATION OF AN</b> <b>ACTION REGARDING A PATENT OR</b> <b>TRADEMARK</b>
---	---	---

In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court District of Connecticut on the following ☒ Patents or ☐ Trademarks:

DOCKET NO. 3:06cv24(AWT)	DATE FILED 1/6/06	U.S. DISTRICT COURT District of Connecticut
PLAINTIFF  Wealth Transfer Group LLC		DEFENDANT  John W. Rowe
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1 6,567,790		See attached copy of Complaint.
2		
3		
4		
5		

In the above—entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY <input type="checkbox"/> Amendment <input type="checkbox"/> Answer <input type="checkbox"/> Cross Bill <input type="checkbox"/> Other Pleading		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK	
1			
2			
3			
4			
5			

In the above—entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT  <p style="text-align: center;">Consent Final Judgment Regarding Settlement Agreement filed 3/12/07</p>
--

CLERK  Roberta D. Tabora	(BY) DEPUTY CLERK 	DATE  October 25, 2007
--------------------------------	---	------------------------------

UNITED STATES DISTRICT COURT  
DISTRICT OF CONNECTICUT

WEALTH TRANSFER GROUP, LLC, :  
a Delaware limited liability :  
company, :  
Plaintiff, :  
v. : Case No. 3:06CV00024 (AWT)  
JOHN W. ROWE, :  
Defendant. :

CONSENT FINAL JUDGMENT REGARDING SETTLEMENT AGREEMENT

THIS CAUSE is before the court on the parties' Joint Motion for Entry of a Consent Final Judgment Regarding Settlement Agreement, and the court after reviewing the Joint Motion, and being otherwise fully advised in the premises, enters this Final Judgment by consent.

In accordance with the Settlement Agreement between the parties, the parties STIPULATE:

1. That United States Patent 6,567,790 B1 (the "'790 Patent") is presumed to be valid.

2. That there are facts from which a trier of fact could conclude that the '790 Patent is not invalid and is not unenforceable. This statement is not to be taken as an admission that the trier of fact would necessarily so conclude if the case were to be tried on the merits.

3. That Rowe is the grantor of two Grantor Retained Annuity Trusts ("GRATs") funded by nonqualified stock options having a determined value at the time the transfer was made, the value being determined by competent appraisals.

4. That Rowe does not admit liability for infringement of the '790 Patent but that both parties have agreed, in a confidential patent license and settlement agreement, to resolve their differences without the admission of liability by any party.

5. That the submission of this judgment is part of the agreement between the parties and that the findings set forth herein are agreed to and are sufficient to support factually this judgment.

NOW THEREFORE, based upon the foregoing stipulations by consent, this court hereby ORDERS and DECREES:

1. Based on the stipulated facts, United States Patent 6,567,790 B1 is adjudged to be valid and enforceable.

2. All pending claims of Wealth Transfer Group, LLC against John W. Rowe are resolved by this Consent Final Judgment, and such claims are hereby dismissed with prejudice.

3. All pending counterclaims by John W. Rowe against Wealth Transfer Group, LLC are resolved by this Consent Final Judgment and are hereby dismissed with prejudice.

4. Each party shall bear its own attorneys' fees and costs incurred in this action, and shall otherwise comply with the terms and conditions of the confidential settlement concluded by the parties.

5. This Consent Final Judgment concludes all proceedings between Wealth Transfer Group, LLC and John W. Rowe.

It is so ordered.

Dated this 9th day of March 2007 in Hartford, Connecticut.

/s/AWT  
Alvin W. Thompson  
United States District Judge